



Monthly Return on Movement of Open-ended Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules

For the month ended (dd/mm/yyyy) : 30/11/2015

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme Haitong CSI300 Index ETF

Date Submitted 02/12/2015

Stock Code: 82811/2811

| | No. of Units |
|---|----------------------|
| Balance at close of preceding month: 31/10/2015 | <u>28,000,000.00</u> |
| <i>Increase</i> | |
| Further Issues: | <u>NIL</u> |
| EGM approval date (if applicable): <u>N/A</u> (dd/mm/yyyy) | |
| Others (please specify <u>NIL</u>) | <u>NIL</u> |
| EGM approval date (if applicable): <u>N/A</u> (dd/mm/yyyy) | |
| <i>(Decrease)</i> | |
| Repurchase/cancellation: | <u>(500,000)</u> |
| EGM approval date (if applicable): <u>N/A</u> (dd/mm/yyyy) | |
| Others (please specify <u>NIL</u>) : | <u>NIL</u> |
| EGM approval date (if applicable): <u>N/A</u> (dd/mm/yyyy) | |
| Balance at close of the month: 30/11/2015 | <u>27,500,000.00</u> |

Remarks:

Submitted by : Camellia Lau

Title: Manager (Director, Secretary or other duly authorised officer)

RESTRICTED